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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application  
Gerhard STENZEL et al.

Confirmation No. 9539

Serial Number: 10/566,091

Group Art Unit: 3653

Filed: January 27, 2006

Examiner: Unassigned

Attorney Docket No. STEN3004/JEK

For: METHOD AND APPARATUS FOR DETERMINING THE STATE OF  
BANK NOTES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 37 C.F.R. §1.51(b), §1.56, §1.97, and §1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith, except that (1) a copy of any U.S. patent application identified herein or any patent, publication or other information listed herein cited or submitted in a prior application relied upon for an earlier filing date under 35 U.S.C. §120 and identified below, is not submitted herewith, and (2) a copy of any U.S. patent or published patent application identified herein is not submitted herewith, if this application was filed or entered the U.S. national stage on or after June 30, 2003 (or if applicable, this international application entered the U.S. national stage on or after June 30, 2003).

Serial Number: 10/566,091  
Attorney Docket: STEN3004/JEK

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON  
ACCOMPANYING FORM PTO-1449 SUBMITTED FOR CONSIDERATION**

International Search Report mailed November 3, 2004 in International Patent Application No. PCT/EP2004/008110, of which this application is the U.S. national stage. Search Report of German Patent Office dated June 29, 2004 relating to German Patent Application 103 35 147.7 of which this application claims priority.

**CONCISE STATEMENT OF RELEVANCY  
(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)**

All of the documents identified on the appended PTO-1449 form are mentioned in the Search Report of the German Patent Office appended hereto relating to German Patent Application 103 35 147.7, priority of which is claimed in this application, and International Search Report mailed November 3, 2004 appended hereto which was established in International Application No. PCT/EP2004/008110, of which this application is the U.S. national stage. The relevancy of each document is evident from the search reports.

**STATEMENT REGARDING TRANSLATIONS**

English language translations of the non-English language documents are not available to Applicants' attorney apart from English language abstracts appended to the documents or English language counterparts identified in the "Statement of Cumulative Information" paragraph below.

**STATEMENT OF CUMULATIVE INFORMATION**

U.S. 6,151,534 and U.S. 6,074,081 correspond to EP 1 168 252.  
U.S. 2006/0151282 corresponds to WO 2004/055740.

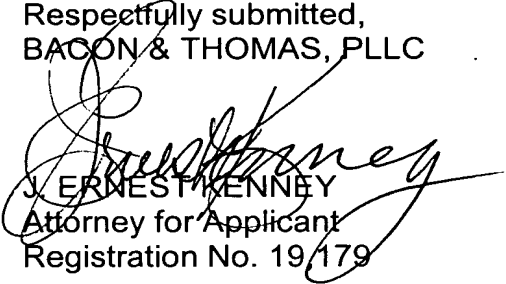
Serial Number: 10/566,091  
Attorney Docket: STEN3004/JEK

**STATEMENT OF TIMELINESS**

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,  
BACON & THOMAS, PLLC



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Date: January 26, 2007



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|---|------------------------|---------------|
| B/O Form PTO-1449<br><br>U.S. Department of Commerce<br>Patent and Trademark Office<br><br>Information Disclosure Statement by<br>Applicant | Atty. Docket Number    | Serial Number |
|   | STEN3004/JEK           | 10/566,091    |
|   | Applicant              |               |
|   | Gerhard STENZEL et al. |               |
|   | Filing Date            | Group         |
|   | January 27, 2006       | 3653          |

## U.S. Patent Documents

| Examiner Initial | Document Number | Date       | Patentee/Applicant | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|------------|--------------------|-------|----------|----------------------------|
|                  | 3,800,155       | 03-26-1974 | POTENZA            |       |          |                            |
|                  | 6,074,081       | 06-13-2000 | SMITH et al.       |       |          |                            |
|                  | 6,101,266       | 08-08-2000 | LASKOWSKI et al.   |       |          |                            |
|                  | 6,151,534       | 11-21-2000 | SMITH et al.       |       |          |                            |
|                  | 2002/0043560 A1 | 04-18-2002 | WOODS et al.       |       |          |                            |
|                  | 2006/0151282 A1 | 07-13-2006 | DERKS et al.       |       |          |                            |
|                  |                 |            |                    |       |          |                            |
|                  |                 |            |                    |       |          |                            |
|                  |                 |            |                    |       |          |                            |

## Foreign Patent Documents

| Examiner Initial | Document Number   | Publication Date | Country/Agency | Class | Subclass | Translation |    |
|------------------|-------------------|------------------|----------------|-------|----------|-------------|----|
|                  |                   |                  |                |       |          | Yes         | No |
|                  | EP 0 553 402 A1   | 08-04-1993       | EPO            |       |          |             | X  |
|                  | EP 0 660 276 A2   | 06-28-1995       | EPO            |       |          | X           |    |
|                  | EP 1 168 252 A2   | 01-02-2002       | EPO            |       |          |             | X  |
|                  | WO 2004/055740 A2 | 07-01-2004       | WIPO           |       |          |             | X  |
|                  |                   |                  |                |       |          |             |    |

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

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Examiner

Date Considered

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.